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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2401.0266C

First Inventor

Richard L. Prass

Title

Intraoperative Neurophysiological Monitoring System

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 88]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(or continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation gap only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(insert Customer No. or Bar Code Label here)

or ☒ Correspondence address below

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Registration No. (Attorney/Agent)

24,353

Signature

Date

11/5/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PTO
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BOX PATENT APPLICATIONS

EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC
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(301) 424-3640

BOX: PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Atty Docket No.: 2401.0266C

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Richard L. Prass

For: Intraoperative Neurophysiological Monitoring System

Enclosed are:

Utility Patent Application Transmittal (PTO/SB/05);
88 Pages of Specification (Letter Size);
11 Sheets of Drawings; and
Return Receipt Postcard.


The fee has been calculated as shown below:

| | | | | | | |
|---------------------|-----------|---|----|---|-----------------------------------|-----------------------------|
| | | | | | LARGE/SMALL ENTITY FEE: \$ 740.00 | |
| TOTAL CLAIMS: | <u>32</u> | - | 20 | = | 12 | Extra x \$9/18 = \$ 216.00 |
| INDEPENDENT CLAIMS: | <u>8</u> | - | 3 | = | 5 | Extra x \$42/84 = \$ 420.00 |
| | | | | | TOTAL FEE DUE: \$ 1376.00 | |

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment in the subject patent application to Deposit Account No. 05-0460.

All correspondence regarding this application should be directed to EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC at the above address.

Respectfully submitted,


Robert H. Epstein
Registration No. 24,353

Hand-Delivered: 11/6/01